11-08-00

PTO/SB/05 (2/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box +

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37C.F.R. §1.53(b))

Attorney Docket No.	PC10408A
First Named Inventor or Application Identifier	Sobolov-Jaynes
Title	COMBINATION TREATMENT FOR DEPRESSION AND ANXIETY
Express Mail Label No.	EM292625586

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

1. [X] *Fee Transmittal Form (e.g., *PTO/SB/17*) *(Submit an original, and a duplicate for fee processing)*
2. [X] Specification [Total Pages 70]
 (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference in Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. [] Drawing(s) *(35 U.S.C. 11.3)* [Total sheets]
4. [] Oath or Declaration [Total pages]
 a. [] Newly executed (original or copy)
 b. [] Copy from a prior application (37 CFR §1.63(d))
 (for continuation/divisional with Box 17 completed)
 [Note Box 5 below]
 i. [] DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).
5. [] Incorporation By Reference *(useable if Box 4b is checked)*
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. [] Microfiche Computer Program *(Appendix)*
7. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 a. [] Computer Readable Copy
 b. [] Paper Copy (identical to computer copy)
 c. [] Statement verifying identity of above copies

JC825 U.S. PTO 09/707320 11/07/00

ACCOMPANYING APPLICATION PARTS

8. [] Assignment Papers (cover sheet & document(s))
9. [X] 37 C.F.R. §3.73(b) Statement [] Power of Attorney *(when there is an assignee)*
10. [] English Translation Document *(if applicable)*
11. [] Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
12. [X] Preliminary Amendment
13. [X] Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
14. [] *Small Entity Statement(s) *(PTO/SB/09-12)* [] Statement filed in prior application, Status still proper and desired
15. [] Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. [X] Other: Priority Claim
 U.S. Serial No. 60/164,692, filed Nov. 10, 1999

NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. **If a CONTINUING APPLICATION,** *check appropriate box, and supply the requisite information below and in a preliminary amendment:*
 [] Continuation [] Divisional [] Continuation-in-part (CIP) of prior application No: ____/____
 Prior application information: Examiner _____ *Group/Art Unit:* _____

18. CORRESPONDENCE ADDRESS

[] *Customer Number or Bar Code Label* ***(Insert Customer No. or Attach bar code label here)*** **or** [X] *Correspondence address below*

Name	Paul H. Ginsburg				
Address	Pfizer Inc				
Address	235 East 42nd Street, 20th Floor				
City	New York	State	New York	Zip Code	10017-5755
Country	United States Of America	Telephone	(212)573-2369	Fax	(212)573-1939

NAME (Print/type)	A. David Joran	Registration No. (Attorney/Agent)	37,858
Signature		Date	November 7, 2000

UTILITY TRANSMITTAL PTO SB 05, 3/99, (1/1)

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PTO/SB/17(2/98)
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11/07/00 JC961 U.S. PTO

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

Total Amount of Payment ($)1,016.00

Complete if Known	
Application Number	Not Yet Known
Filing Date	Concurrently Herewith
First Named Inventor	Sobolov-Jaynes et al
Examiner Name	Not Yet Known
Group/Art Unit	Not Yet Known
Attorney Docket No.	PC10408AADJ

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METHOD OF PAYMENT (check one)

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 16-1445

Deposit Account Name: Pfizer Inc.

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17.

☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.

2. ☐ **Payment Enclosed:**

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) ($) 710.00

2. EXTRA CLAIM FEES

			Extra Claims		Fee from below		Fee Paid
Total Claims	22	-20**=	2		18	=	36.00
Independent Claims	1	- 3**=	0	X	80	=	0.00
Multiple Dependent					270	=	270.00

** or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($) 306.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late fee or oath	
127	50	227	25	Surcharge–late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other Fee (specify)					
Other Fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) ($)

SUBMITTED BY				Complete (if Applicable)	
Type or Printed Name	A. David Joran			Reg. Number	37,858
Signature		Date	11-7-00	Deposit Account User ID	

Patent Application
Attorney Docket No.PC10435C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: PC10408A :

APPLICATION NO.: NOT YET ASSIGNED :

FILING DATE: CONCORRENTLY HEREWITH :

TITLE: COMBINATION TREATMENT FOR DEPRESSION AND ANXIETY :

jc825 U.S. PTO
09/707320
11/07/00

BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

EXPRESS MAIL CERTIFICATE OF MAILING

EM292625867US

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 7 day of November, 2000.

By _____

Signature of person mailing
A. David Joran (Reg. No. 37,858)

EXPRESS MAIL EM292625867US

PATENT
PC10408A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:	:
SUSAN B. SOBOLOV-JAYNES	:
SERIAL NO.: Not Yet Assigned	:
FILED: Concurrently Herewith	:
FOR: COMBINATION TREATMENT FOR DEPRESSION AND ANXIETY	:

Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE UNDER 37 CFR 3.73(B)

Pfizer Inc., a Corporation of the State of Delaware,

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. [X] An assignment from the inventor(s) of the prior copending patent application Serial No. 60/164,692, filed November 10, 1999, of which the benefit under 37 C.F.R. §119(e) is claimed for the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 010886, Frame 0564, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at Reel __, Frame ___, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at Reel __, Frame ___, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at Reel __, Frame ___, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

[] Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Pfizer Inc.

November 7, 2000
Date

By: _____
A. David Joran
Patent Counsel
Reg. No. 37,858